

1 Thermal drawdown-induced flow channeling in a single fracture in EGS

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10 Abstract:

11 The evolution of flow pattern along a single fracture and its effects on heat production is
12 a fundamental problem in the assessments of engineered geothermal systems (EGS). The
13 channelized flow pattern associated with ubiquitous heterogeneity in fracture aperture
14 distribution causes non-uniform temperature decrease in the rock body, which makes the
15 flow increasingly concentrated into some preferential paths through the action of thermal
16 stress. This mechanism may cause rapid heat production deterioration of EGS reservoirs.
17 In this study, we investigated the effects of aperture heterogeneity on flow pattern
18 evolution in a single fracture in a low-permeability crystalline formation. We developed a
19 numerical model on the platform of GEOS to simulate the coupled thermo-hydro-
20 mechanical processes in a penny-shaped fracture accessed via an injection well and a
21 production well. We find that aperture heterogeneity generally exacerbates flow
22 channeling and reservoir performance generally decreases with longer correlation length
23 of aperture field. The expected production life is highly variable (5 years to beyond 30
24 years) when the aperture correlation length is longer than 1/5 of the well distance,
25 whereas a heterogeneous fracture behaves similar to a homogeneous one when the
26 correlation length is much shorter than the well distance. Besides, the mean production
27 life decreases with greater aperture standard deviation only when the correlation length is

28 relatively long. Although flow channeling is inevitable, initial aperture fields and well
29 locations that enable tortuous preferential paths tend to prolong heat production lives.

30

31 Keywords: EGS, thermal drawdown, flow channeling, aperture heterogeneity, THM
32 coupling, GEOS

33

34 1. Introduction

35 Engineered (or enhanced) geothermal systems (EGS) are promising energy resources
36 with an enormous potential for base-load electricity generation (Tester et al. 2006; Lund
37 et al. 2011; Bertani 2012; Jung 2013). Unlike conventional hydrothermal energy, EGS is
38 not limited to locations with abundant water supply and high-conductivity formations.
39 Engineering measures such as hydraulic fracturing and hydraulic shearing provide the
40 opportunity to extract heat from originally low-permeability crystalline formations by
41 creating new fractures and/or enhancing the permeabilities of natural fractures (Brown
42 and Duchane 1999; Tenma et al. 2008; Brown 2009). Water circulation in an EGS
43 reservoir can be dominated by flow in a single fracture/fault (Brown 1997; Brown and
44 Duchane 1999; Chopra and Wyborn 2003; Baisch et al. 2006; Brown 2009; Llanos et al.
45 2015) or through an interconnected fracture network (Koh et al. 2011; Genter et al. 2012;
46 Genter et al. 2013). In either case, the flow pattern as well as its evolution along an
47 individual fracture and the heat exchange between the working fluid and the rock
48 surrounding this fracture play a fundamental role in heat production.

49 Fluid flow and heat exchange closely interact during EGS heat production. Because heat
50 is transferred from the rock surrounding the fracture to the production well(s) by flowing
51 fluid, only the portion of fracture that carries flow provides effective heat exchange
52 surface area. It is therefore highly desirable to have flow spreading over a large area of
53 the fracture surface. However, spatially heterogeneous fractures are ubiquitous in
54 geologic formations (Neretnieks 1987; Méheust and Schmittbuhl 2000; Kosakowski et al.
55 2001) and fluid flow in a fracture with aperture heterogeneity tends to be channelized
56 along a few preferential paths (Tsang and Tsang 1989). The rock body near the

57 preferential paths tends to cool faster than other regions do, and the cooled rock body
58 develops thermal stress that reduces the effective compressive stress acting on the
59 preferential flow paths and thereby increases the fracture aperture. The increase of
60 aperture, in turn, makes the flow even more channelized along these preferential paths. In
61 the present study, the term “flow channeling” refers to the phenomenon or process of the
62 preferential paths carrying an increasing portion of the flow. This mechanism is expected
63 to reduce heat exchange efficiency and cause rapid heat production deterioration.

64 Numerous studies have shown evidence for fracture aperture/transmissivity evolution due
65 to the thermo-hydro-mechanical (THM) processes in EGS reservoirs dominated by either
66 a single fracture/fault or a fracture network (Kolditz and Clauser 1998; Parker 1999;
67 Tenma et al. 2008). For example, Bower and Zyvoloski (1997) coupled stress to a flow
68 and heat transfer model and found that the fracture flow increases due to further opening
69 of a single fracture in the Fenton Hill hot dry rock reservoir. Danko and Bahrami (2012)
70 used a THM model to simulate the heat production in two EGS reservoirs at Fenton Hill
71 and Desert Peak, **each of which was modeled to be dominated by a single fracture**. They
72 observed that only the aperture near the central part of the fracture increases over time.
73 Hicks et al. (1996) simulated the THM processes in a fractured rock and observed a
74 decreasing injection pressure and an increasing water recovery percentage, indicating an
75 increase in the overall permeability. Koh et al. (2011) also found great enhancement of
76 injectivity at a given pressure drop over 10 years in naturally fractured rock. Fu et al.
77 (2015) conducted THM coupled simulations of heat production in fracture networks and
78 observed that the flow inevitably becomes more concentrated into a few channels during
79 heat production. Although THM processes significantly affects heat production in those
80 studies, the quantitative effects of spatially heterogeneous fracture aperture on flow
81 channeling remains poorly understood.

82 **A number of studies, such as Taron and Elsworth (2009), Pandey et al. (2014), Ameli et**
83 **al. (2014), Deng et al. (2015), etc. have shown that geochemical reactions could alter**
84 **permeability/transmissivity of fractures and porous media under certain conditions.**
85 **However, the current study focuses on THM processes and does not consider**
86 **geochemistry for two reasons: First, quartz, the main component of crystalline rocks (the**
87 **host formations of most EGS), reacts with water very slowly and the effects of water-**

88 quartz reaction on aperture alteration are expected to be negligible within the typical
89 lifespan of EGS. Second, the THM process investigated herein alone can have very
90 significant effects on EGS performance and it is more appropriate to study the effects of
91 geochemistry in separate work.

92 The present study develops a numerical model that fully couples the THM processes
93 during heat production and quantitatively investigates the effects of spatial heterogeneity
94 in aperture on flow channeling in a single planar fracture in an EGS reservoir. We
95 especially focus on how the probability distribution and spatial autocorrelation
96 characteristics of the aperture field affect the reservoir performance. The results are
97 directly useful for EGS reservoirs dominated by a single fracture/fault, and they also
98 provide useful insights into the fundamental behavior of individual fractures in a fracture
99 network.

100

101 2. Coupled THM model

102 2.1 Overview of the model

103 We developed a new numerical model on GEOS, a high performance computing (HPC)
104 platform developed at the Lawrence Livermore National Laboratory (LLNL) (Fu and
105 Carrigan 2012; Settgast et al. 2012; Fu et al. 2013), to simulate the coupled THM
106 processes in the heat production stage of an EGS reservoir. The essential
107 processes/mechanisms (Pruess 1990; Hayashi et al. 1999; McDermott et al. 2006; Guo et
108 al. 2015) involved in the flow channeling phenomenon include:

- 109 1. Fluid flow along a fracture and in the rock matrix, as well as its evolution as the
110 aperture/permeability field changes;
- 111 2. Convective heat transfer associated with the fluid flow along the fracture, conductive
112 heat transfer in the rock matrix, and heat exchange between the working fluid and the
113 surrounding rock body;
- 114 3. The change of total stress caused by the non-uniform cooling of the rock body; and
- 115 4. The evolution of the local fracture aperture as the effective stress changes.

116 The first two processes are simulated by a combined flow and heat transfer solver
 117 developed in GEOS, as shown in Figure 1 and elaborated on in Section 2.2. Thermal
 118 stress is calculated by a thermo-mechanical solver and the total stress tensor of each rock
 119 matrix element is updated accordingly as briefly described in Section 2.3. Section 2.4
 120 presents the procedure of updating the fracture aperture field based on the fluid pressure
 121 and stress change along the fracture in the reservoir.

122 2.2 Flow and heat transfer in fracture and matrix

123 The flow and heat transfer solver combines fluid flow and heat transfer in both fractures
 124 and rock matrix. We use a finite volume formulation to solve the independent state
 125 variables, namely fluid pressure P and temperature T , for 3D 8-node hexahedron
 126 elements. The coupled single-phase flow and heat transfer in porous medium are
 127 governed by the principle of mass and energy conservation. The mass conservation
 128 equation for compressible fluid is

$$129 \quad \frac{\partial(\rho\phi)}{\partial t} + \nabla \cdot (\rho\mathbf{v}) = \Gamma, \quad (1)$$

130 where ρ is the fluid density; ϕ is the rock porosity; t is time; \mathbf{v} is the fluid velocity
 131 vector; and Γ is a source/sink term. According to Darcy's law, fluid velocity vector \mathbf{v}
 132 is calculated as

$$133 \quad \mathbf{v} = -\frac{\mathbf{k}}{\mu}(\nabla P - \rho\mathbf{g}), \quad (2)$$

134 where \mathbf{k} is the intrinsic permeability tensor of the rock matrix; μ is the fluid dynamic
 135 viscosity; and \mathbf{g} is the gravity acceleration vector. The current study assumes the
 136 permeability of rock matrix to be isotropic, so the permeability tensor is reduced to the
 137 permeability scalar k . Substituting Equation (2) into Equation (1) yields

$$138 \quad \frac{\partial(\rho\phi)}{\partial t} - \nabla \cdot \left[\rho \frac{k}{\mu} (\nabla P - \rho\mathbf{g}) \right] = \Gamma. \quad (3)$$

139 Fluid density ρ depends on fluid pressure and temperature, as approximated by the
 140 following analytical function

141
$$\rho = \rho_r e^{[\beta_f(P-P_r) + \alpha_f(T-T_r)]}, \quad (4)$$

142 where ρ_r , P_r , T_r , β_f , and α_f are the fluid density, pressure, temperature, fluid
 143 compressibility, and fluid thermal expansion coefficient, respectively, in a known
 144 reference state.

145 The fracture, while hydraulically conductive, is mechanically closed under the high *in*
 146 *situ* compressive stress assumed in this study. GEOS has the capability to represent
 147 fractures using planar “face element” embedded in the solid mesh as described in Guo et
 148 al. (2015). However this treatment is computational expensive and is unnecessary for the
 149 current study due to the simple geometry that we investigate. The fracture in the present
 150 model is represented by a very thin layer (2 mm thick) of porous medium. When
 151 Equation (3) is applied to fracture grid elements, the porosity is set as unity and the
 152 effective permeability k_f is calculated according to the cubic law (Berkowitz 2002) as

153
$$k_f = \frac{A^3}{12H}, \quad (5)$$

154 where A is the fracture aperture; and H is the thickness of the fracture grid elements in the
 155 mesh. **At each point, fracture aperture depends on the effective stress normal to the**
 156 **fracture plane. Spatially, aperture is treated as an auto-correlated random field across the**
 157 **fracture plane. Section 2.4 and section 3.2 elaborate on these two aspects, respectively.**

158 The governing equation describing the energy balance over the fluid phase and the solid
 159 phase in a porous medium is

160
$$\frac{\partial}{\partial t} (\phi \rho C_f T + (1 - \phi) \rho_s C_s T) + \nabla \cdot (\rho C_f T \mathbf{v}) = \nabla \cdot (K_m \nabla T) + Q, \quad (6)$$

161 where C_f is the specific heat capacity of the fluid; ρ_s is the rock solid density; C_s is the
 162 specific heat capacity of the rock solid; K_m is the thermal conductivity of the rock matrix;
 163 and Q is a source/sink term of heat.

164 We use an implicit time integration scheme in the flow solver and the time step size is
 165 adaptively adjusted. Generally, small time steps are required in the beginning of the heat
 166 production due to the high degree of transience of the system. As the system evolves into
 167 a semi-steady state, relatively long time steps suffice. Because the apertures in the

168 fracture are assumed to remain constant within each time step, we limit the time step size
169 to be no longer than one month. A sensitivity study found that further reducing this
170 maximum time step size does not alter the simulation results, confirming sufficient
171 temporal resolution.

172 2.3 Calculation of thermal stress

173 The rock body containing the closed fracture is treated as a continuum for the calculation
174 of thermal stress. The mesh is the same as that for the flow and heat transfer solver. The
175 thermal stress field is obtained following the procedure outlined in Section 2.10 of Cook
176 et al. (2007). The approach is a standard method employed in thermo-mechanical finite
177 element analysis and not repeated here.

178 2.4 Updating the fracture aperture field

179 To evolve the apertures of the fracture during heat production requires: 1) total stress in
180 the rock medium surrounding the fracture plane, 2) the fluid pressure field in the fracture,
181 and 3) a rock joint model. The total stress tensor of the rock body, including the
182 contributions of the far-field *in situ* stress and the thermal stress, is a direct output of the
183 thermo-mechanical solver. The fluid pressure is obtained from the flow and heat transfer
184 solver, and the difference between the total normal stress and the fluid pressure is the
185 effective normal stress σ'_n of the fracture element. We assume that the aperture of each
186 fracture element is solely determined by the effective normal stress on this element and
187 use the classic Barton-Bandis model (Bandis et al. 1983; Barton et al. 1985) to calculate
188 the aperture.

189 The Barton-Bandis model for rock joints has been widely used in various numerical
190 models for fracture-dominated geothermal reservoirs (e.g., Kohl et al. 1995; Bower and
191 Zyvoloski 1997; Bruel 2002). We rewrite the original equation to express aperture as a
192 function of effective stress as

$$193 \quad A = A_{max} - \frac{a\sigma'_n}{1 + b\sigma'_n}, \quad (7)$$

194 where A is the aperture under the current effective normal stress σ'_n , and A_{max} is the
195 aperture at zero (or a minimal) effective stress. a and b are two material- and state-

196 dependent parameters. We assume that the aperture diminishes to zero as the effective
197 stress approaches infinity so that the relationship of $A_{max}=a/b$ reduces the independent
198 parameters to a and b alone. Essentially, a random field of fracture aperture (including its
199 current state and its evolution with respect to stress) can be fully represented by the fields
200 of a and b . Studies in the literature either focused on the relationship between aperture
201 and stress for a fracture of a small “representative area” (Bandis et al. 1983; Barton et al.
202 1985) or quantified the aperture’s spatial distribution at a specific stress state (Cook 1992;
203 Bower and Zyvoloski 1997; Auradou et al. 2006; Danko and Bahrami 2012; Llanos et al.
204 2015). However, the current study needs to capture both aspects and we achieve this
205 through the method described in section 3.2.

206

207 3. Model setup

208 3.1 Simulation domain and boundary conditions

209 We simulate the heat production from a horizontal penny-shaped fracture in a large body
210 of low-permeability hot crystalline rock. The system somewhat resembles the Habanero
211 project in the Cooper Basin, Australia (Chopra and Wyborn 2003; Baisch et al. 2009;
212 Llanos et al. 2015), but this study is not aimed at this specific EGS site. The diameter of
213 the fracture is 1,000 m at a depth of 3,000 m. One injection well and one production well
214 intersect the fracture and the distance between the two wells is 500 m. Figure 2 shows the
215 system geometry as well as the three principal components of the *in situ* stress at the
216 depth of the fracture. The site is in a reverse faulting region according to Anderson’s
217 classification (Anderson 1951) with the vertical stress being the minimum principal
218 component. Therefore, the horizontal fracture can be a hydraulic fracture (McClure and
219 Horne 2014) or a natural fracture. As we explicitly quantify the spatial heterogeneity of
220 aperture field, the geological origin of the fracture does not directly affect the current
221 study. The initial pore pressure is 34 MPa at the fracture depth, and we ensure that the
222 fluid pressure never exceeds the minimum principal stress during heat production, so the
223 extent of the fracture remains constant. The initial rock temperature is 200 °C (392 °F) at
224 the fracture depth, with a local vertical temperature gradient of 40 °C/km.

225 Fluid flow in the system is assumed to be dominated by that along the fracture since the
226 matrix permeability of typical EGS host rocks is extremely small. Leak-off from the
227 fracture into the matrix and unsteady fluid flow within the matrix are naturally included
228 in the simulations, since the numerical model essentially uses a porous medium
229 formulation. However, their effects on the overall flow field and heat transport are
230 expected to be negligible, and therefore the related results are not presented.

231 The dimensions of the simulation domain are approximately $3 \text{ km} \times 3 \text{ km} \times 3 \text{ km}$, much
232 larger than the volume affected by heat transfer, thereby sufficient for simulating the
233 constraints of the far-field rock body in thermal stress development. The fracture is
234 represented by a thin layer of elements $5 \text{ m} \times 5 \text{ m} \times 2 \text{ mm}$ in size, and the dimensions of
235 the rock matrix elements near the fracture are $5 \text{ m} \times 5 \text{ m} \times 5 \text{ m}$ in size. The mesh
236 becomes progressively coarser at locations farther from the fracture to reduce the
237 computational cost. The computational domain consists of approximately 3,000,000
238 elements.

239 The downhole pressure in the production well at the depth of the fracture is kept at the
240 initial pore pressure (34 MPa). At the injection well, water is injected at a constant
241 temperature of $50 \text{ }^\circ\text{C}$ ($122 \text{ }^\circ\text{F}$) and a constant rate of 12.5 liter/second. This rate is
242 considered reasonable from both engineering and economical perspectives (Baria et al.
243 1999; Bruel 2002; Tenma et al. 2008; Jung 2013; Pandey et al. 2014; Llanos et al. 2015;
244 Hogarth and Bour 2015). We apply a zero-flux boundary condition for flow and heat
245 transfer at the far-field boundaries. The thermo-mechanical solver applies the boundary
246 condition of zero normal displacement on one boundary face in each direction and
247 applies the specified *in situ* stress on the opposite boundary face in the same direction,
248 which is a typical way of applying *in situ* stress while eliminating the rigid body motion
249 of the simulation system.

250 The parameters for rock and fluid properties are listed in Table 1.

251

252 Table 1: Rock properties, fluid properties, and other parameters used in the model.

Property Name	Value
Porosity of the rock matrix (ϕ_m)	0.01
Permeability of the rock matrix (k_m)	$1 \times 10^{-20} \text{ m}^2$
Rock solid density (ρ_s)	$2,500 \text{ kg/m}^3$
Rock bulk modulus (K)	33.3 GPa
Rock shear modulus (G)	20 GPa
Specific heat capacity of rock solid (C_s)	790 J/kg/K
Specific heat capacity of fluid (C_f)	$4.46 \times 10^3 \text{ J/kg/K}$
Linear thermal expansion coefficient of rock matrix (α_r)	$8.0 \times 10^{-6} \text{ K}^{-1}$
Reference fluid density (ρ_r)	887.2 kg/m^3
Reference pressure for fluid density (P_r)	34 MPa
Reference temperature for fluid density (T_r)	200 °C
Fluid dynamic viscosity (μ)	$1.42 \times 10^{-4} \text{ Pa}\cdot\text{s}$
Fluid compressibility (β_f)	$5.11 \times 10^{-10} \text{ Pa}^{-1}$
Volumetric thermal expansion coefficient of fluid (α_f)	$7.66 \times 10^{-4} \text{ K}^{-1}$
Thermal conductivity of rock matrix (K_m)	3.5 W/m/K

253

254 Note that the fluid dynamic viscosity value used is that of water under the reference
 255 temperature (200 °C) and pressure (34 MPa) (Sengers and Kamgar-Parsi 1984;
 256 Likhachev 2003). The dependency of fluid density on temperature and pressure is
 257 ignored in the simulations, but the potential impact of this assumption is discussed in the
 258 concluding remarks.

259 3.2 Heterogeneous aperture fields

260 The aperture of a typical rock fracture can be statistically represented by a spatially
261 autocorrelated random field (Tsang et al. 1988; Tsang and Tsang 1989; Tsang and
262 Neretnieks 1998). In a given stress state, the apertures of a fracture had been found to
263 typically follow the gamma distribution or the log-normal distribution (Tsang et al. 1988;
264 Tsang and Tsang 1989; Tsang and Neretnieks 1998). The spatial autocorrelation
265 characteristics are described by the variogram model and the correlation length λ . The
266 variogram model describes how the semivariance, which is the statistical variance minus
267 the covariance of the apertures, changes with the distance between any two locations.
268 Three types of variogram models, namely the exponential, spherical, and Gaussian
269 models are widely used in geostatistics (Cressie 1993; Chiles and Delfiner 2009) and
270 normally the semivariance curves of these models do not significantly differ from each
271 other when the correlation length is the same or when they are fitted to the same set of
272 geostatistical data. We use the spherical variogram model for all the simulations
273 conducted in this study. **The variogram is assumed to be spatially isotropic on the fracture,**
274 **with a nugget of zero.** An intuitive interpretation of the correlation length is the distance
275 beyond which the semivariance does not change significantly as the distance further
276 increases. The three examples of aperture fields in Figure 3 show that the sizes of the
277 visible patches, within which the apertures do not significantly change, increase with
278 greater correlation length. The λ/L ratio, with L being the characteristic flow length, is
279 usually between 0.05 and 0.40 for typical hydrological applications (Tsang and Tsang
280 1987; Moreno et al. 1988; Tsang et al. 1988; Tsang and Tsang 1989).

281 In this study, the correlation length and standard deviation **of the aperture values** are the
282 primary variables under investigation, as the spatial variation of apertures, not the mean
283 aperture, determines the flow pattern and its evolution. Table 2 summarizes the plan for
284 this investigation, in which simulation Set 1 is for the investigation of how the varying
285 correlation length affects flow channeling and heat production, and simulation Set 2 is for
286 the study of varying standard deviation of apertures. In Set 1, we adopt the aperture
287 measurements in Tsang et al. (1988), and the apertures follow a log-normal distribution
288 with a mean value of 0.24 mm and a standard deviation of 0.17 mm, which is denoted as
289 log-normal(0.24 mm, 0.17 mm) hereafter. The selected correlation lengths covers a range

290 of λ / L ratio from 0.025 to 0.4, with the characteristic flow length L being 500 m (the
 291 distance between the two wells). The effects of aperture's standard deviation on flow
 292 channeling may depend on correlation lengths, so a sensitivity study of standard
 293 deviation is performed for the correlation lengths of 12.5 m and 200 m, and the values of
 294 standard deviation simulated is shown in Table 2.

295

296 Table 2. Simulation plan of the aperture field.

	Mean (mm)	Standard deviation (mm)	Correlation length (m)	Number of realizations
Set 1: varying correlation length	0.24	0.17	12.5	20
	0.24	0.17	25	20
	0.24	0.17	50	20
	0.24	0.17	100	20
	0.24	0.17	200	20
Set 2: varying standard deviation	0.24	0.0425	12.5	10
	0.24	0.085	12.5	10
	0.24	0.17	12.5	10
	0.24	0.34	12.5	10
	0.24	0.68	12.5	10
	0.24	0.0425	200	20
	0.24	0.085	200	20
	0.24	0.17	200	20
	0.24	0.34	200	20
	0.24	0.68	200	20

297

298 To obtain statistically representative results requires a sufficiently large number of
 299 random realizations for each combination of the specified parameters. We use the
 300 frequentist method (Adcock 1997) to determine the minimum number of realizations
 301 required in the study as

$$302 \quad n_{min} = \left(\frac{cS}{E} \right)^2, \quad (8)$$

303 where n_{min} is the minimum realization number; c is the critical value, which is related to
 304 the probability that the variable lies in the specified confidence interval; s is the standard
 305 deviation of the desired variable; and E is the error of margin. Our analysis shows that the
 306 realization numbers listed in Table 2 are adequate for achieving a 90% probability that
 307 the production life lies in a confidence interval of ± 4 years ($E=4.0$ years) with c being
 308 1.645.

309 We use the “gstat” package in R, a programming language and software environment for
 310 statistical computing and graphics, to generate the aperture fields that follow the specified
 311 probability distribution and spatial autocorrelation in the initial state. The aperture fields
 312 are generated using the spherical variogram model with 20 cells nearby used for universal
 313 kriging. More detailed description of the “gstat” package for multivariable geostatistical
 314 modeling, prediction, and simulation, particularly the “vgm” function used in the current
 315 work for the generation of the aperture field, is available in Pebesma (2004). A disk-
 316 shaped proppant-enhanced aperture region, centered at the intersection between the
 317 fracture and each well, is superposed onto the aperture field (Figure 3). This measure is to
 318 avoid the excessive pressure drop due to the high flow rate near the wells and it is also
 319 feasible in real world engineering. The proppant-enhancement of aperture is assumed to
 320 be 0.8 mm at the intersection point and linearly decreases to 0 mm when the radius
 321 reaches 50 m. The actual aperture used is the greater between the randomly generated
 322 aperture and the proppant-enhanced aperture.

323 As reasoned in section 2.4, two constitutive parameters, a and b , are required to describe
 324 the relationship between the aperture and the effective stress at a fracture element. The
 325 constitutive behavior of a whole fracture would be described by the spatial distributions
 326 of a and b . However, what R directly generates is the spatial distribution of aperture
 327 under a given effective stress. To bridge this gap, we adopt the apertures A_{r1} and A_{r2} in
 328 two specified reference stress states $\sigma'_{n,r1}$ and $\sigma'_{n,r2}$ as an alternative set of independent
 329 parameters to describe the constitutive behavior of a fracture element. The fields of A_{r1}
 330 and A_{r2} can be converted to the fields of a and b through the following relationships.

$$331 \quad a = \frac{A_{r1}A_{r2}(A_{r2} - A_{r1})(\sigma_{r1} - \sigma_{r2})}{(\sigma_{r1}A_{r1} - \sigma_{r2}A_{r2})^2}, \quad (9)$$

$$b = \frac{A_{r2} - A_{r1}}{\sigma_{r1} A_{r1} - \sigma_{r2} A_{r2}} \quad (10)$$

333 After switching to using A_{r1} and A_{r2} from using a and b as independent variables, each
 334 simulation requires two aperture fields corresponding to two given reference stress states
 335 to fully quantify the deformation characteristics of the fracture. We choose the initial
 336 natural state (30 MPa effective normal stress) as the first reference state and use the
 337 aperture field generated following the design in Table 2 for this state. We choose the
 338 effective stress of 5 MPa as the second reference stress state and assume that the aperture
 339 in this state is three times of that in the first reference state. This seemingly arbitrary
 340 choice of the relationship between the apertures in these two states reflects the
 341 unfortunate lack of real data to support a more realistic model. However, it is sufficient
 342 for embodying the most essential behavior of rock joints concerned in this study: fracture
 343 aperture and permeability significantly increase as the effective stress decreases.

344 The simulations were performed on LLNL's supercomputer Cab. A simulation for 30
 345 years of heat production costs approximately 1,500 core-hours based on Intel® Xeon® E5-
 346 2670 processors. Thanks to GEOS's scalable parallel processing capability, each
 347 realization was simulated within 6 hours of wall time by 16 computing nodes (256 cores).

348

349 4. Simulation results

350 4.1 Results of one representative realization

351 This section uses the results of one representative simulation to illustrate the THM
 352 processes during EGS heat production and to establish the paradigm for subsequent
 353 analyses. We analyze in detail the results for an aperture field with log-normal(0.24 mm,
 354 0.17 mm) and a correlation length of 50 m. Figure 4 shows the rock temperature and
 355 thermal stress in the rock body near the fracture after 30 years of production. The cooling
 356 front vertically advanced roughly 200 m in both sides of the fracture, as Figure 4(a)
 357 shows, and the region with significant stress change reaches approximately 400 m away
 358 from the fracture plane in the vertical direction [Figure 4(b)]. These observations
 359 reassures that a 3 km × 3 km × 3 km domain is sufficient for eliminating the boundary

360 effect. Although the initial reservoir temperature has a vertical gradient, the region of
361 temperature change is largely symmetric with respect to the fracture plane. This is
362 because the heat conduction caused by the flow of cold water is much greater than that
363 caused by the initial temperature gradient. The cooling of the rock body causes a tensile
364 thermal stress (denoted by red color) in the cooled region, which reduces the compressive
365 total vertical stress, shown in the 3D view of the thermal stress of the rock body in Figure
366 4(b). We also notice that there exist some regions of increased compressive stress [blue
367 color in Figure 4(b)] around the tensile thermal stress region, which is caused by the
368 redistribution of the vertical stress within the rock body. The compressive thermal stress
369 reduces the aperture and flow rate outside the preferential paths, thus further exacerbates
370 flow channeling.

371 Figure 5 shows the evolutions of aperture, fluid flow rate, temperature, and thermal stress
372 along the fracture, as well as the production temperature curve for the same example. The
373 heterogeneous aperture field at the beginning of heat production causes unevenly
374 distributed flow across the fracture, with a few preferential paths conducting a great
375 portion of the fluid. As water circulation continues, the preferential paths become more
376 apparent on both the aperture and flow fields. The rock body around the preferential
377 paths cools faster than other portions and the shape of the horizontal cooling front follows
378 the preferential paths. The region with tensile thermal stress on the fracture develops
379 consistently with that of the cooling front. During this process, the production
380 temperature continuously decreases following the thermal breakthrough as early as 3
381 months. This example evidently demonstrates that our THM numerical model captures
382 the flow channeling mechanism described in section 1 in a high fidelity.

383 To quantify the reservoir performance and make the subsequent statistical analysis of the
384 hundreds of realizations tractable, we define the following two metrics of interest for
385 EGS. 1) The production life is defined as the time period when the production
386 temperature continuously decreases from the initial 200°C to 120°C. The production life
387 is counted as 30 years for statistical analysis if the production temperature remains above
388 120°C after 30 years of production. 2) The production temperature integral is defined as
389 the area between the production temperature curve and the horizontal line of 120°C in a
390 time-production temperature plot, as illustrated by the shaded area in the lower right

391 corner of Figure 5. This metric can quantify the useable heat produced by the EGS
392 reservoir because of the same constant injection rate across all the simulations in this
393 study.

394 4.2 Effects of thermal stress on flow channeling and heat production

395 In order to quantify the effects of thermal stress, we compare the results for the same
396 initial aperture field using the full THM model and a reduced model in which the thermo-
397 mechanical solver is disabled. The two models are applied to an idealized fracture with a
398 spatially homogeneous aperture of 0.24 mm, denoted as the control case (not included in
399 the simulation plan in Table 2), and a heterogeneous aperture field with log-normal(0.24
400 mm, 0.17 mm) and the correlation length of 50 m. Figure 6 shows the evolutions of
401 aperture, flow rate, and temperature fields for the homogeneous and heterogeneous
402 aperture fields. If thermal stress is ignored, the aperture field and flow field do not change
403 significantly during 30 years of production, so only the temperature fields for the reduced
404 models are plotted. For either the homogeneous or heterogeneous aperture field, the area
405 of the cooled zone is larger and the flow pattern is more diffuse in the reduced model
406 than those in its full THM counterpart. The corresponding production temperature curves
407 are plotted in Figure 7. For the homogeneous aperture field, the production life
408 considering the THM processes is 27.4 years and is greater than 30 years if thermal stress
409 is ignored. The production temperature integral from the full THM model is
410 approximately 60% of that from the reduced model. Thermal stress plays an even greater
411 role in the case of heterogeneous aperture field. The production life considering the THM
412 processes is 9.4 years, while it is longer than 30 years when the thermo-mechanical
413 process is ignored; the production temperature integral from the full THM model is only
414 28% of that from the reduced model. The results confirm that thermal stress plays a very
415 important role in EGS production, and ignoring it can lead to remarkable overestimation
416 of heat production.

417 4.3 Effects of correlation length on flow channeling and reservoir performance

418 Among the 20 realizations for each correlation length in simulation Set 1 (Table 2), we
419 present the results of three representative realizations for relatively short, medium, and
420 long production lives, respectively. Figure 8 shows the results for the correlation length

421 of 100 m. In the first realization with a production life as short as 5.0 years, the initial
422 aperture field enables a straight preferential path between the two wells, and the straight
423 path becomes more and more predominant during production. On the contrary, in the
424 third realization the initial aperture field forces the development of multiple tortuous
425 preferential paths by blocking the central region with a low transmissivity zone, leading
426 to a more diffuse flow pattern and a production life beyond 30 years. The initial aperture
427 field significantly affects the flow pattern evolution, as well as reservoir performance.

428 The representative results for the correlation length of 12.5 m are shown in Figure 9. In
429 contrast to the observations on the correlation length of 100 m, the flow pattern shows
430 limited variation among realizations and the range of the production life, i.e., from 20.0
431 years to beyond 30 years, is much narrower. Moreover, the evolutions of temperature
432 fields in all the three examples resemble the temperature field evolution with the
433 homogeneous aperture field (Figure 6), which indicates that the fracture behaves similar
434 to a homogeneous one when the correlation length is much shorter than the well distance
435 of 500 m.

436 Figure 10 summarizes the production temperature curves for all the five correlation
437 lengths with $\log\text{-normal}(0.24 \text{ mm}, 0.17 \text{ mm})$, and the thick black curve on each subfigure
438 is based on the control case with the homogeneous aperture field. The results show that
439 the band comprising the production temperature curves becomes wider as the correlation
440 length increases, which indicates the random variation of production temperature
441 increases with longer correlation length. The production temperature curves are very
442 similar to each other for correlation length = 12.5 m, which further confirms that a
443 fracture with an aperture field of a small correlation length behaves similar to a fracture
444 with a homogeneous aperture field. Besides, there are generally more production
445 temperature curves below the curve for the control case than above it in each sub-plot,
446 suggesting that the spatially heterogeneous aperture field generally tends to exacerbate
447 flow channeling and cause inferior reservoir performance. Occasionally, the
448 heterogeneous aperture field may provide better reservoir performance than the
449 homogeneous aperture field. In these cases, the flow fields are more diffuse than that in
450 the control case when certain heterogeneous aperture fields force tortuous preferential

451 paths and blocks the direct connection between the injection and production wells, as the
 452 third example in Figure 8 shows.

453 Figure 11 summarizes the production lives and production temperature integrals for
 454 various correlation lengths, and the statistical quantities are shown in Table 3. Both the
 455 mean production life and mean production temperature integral decrease with longer
 456 correlation length, and the standard deviations for both metrics generally increase with
 457 longer correlation length. These results are consistent with the observations in Figure 10,
 458 thus are not further discussed here.

459

460 Table 3. Statistical means and standard deviations of the production lives and production
 461 temperature integrals for different correlation lengths.

Correlation length (m)		12.5	25	50	100	200
Production life (year)	mean	27.7	25	23.2	19.5	17.5
	standard deviation	2.5	6.5	6.8	9.9	9.7
Production temperature integral ($^{\circ}\text{C}\cdot\text{year}$)	mean	824	744	627	554	553
	standard deviation	84	226	225	287	361

462

463 4.4 Effects of aperture standard deviation

464 A number of realizations were simulated for various standard deviations of the aperture
 465 values and two correlation lengths (12.5 m and 200 m) as summarized in Table 2. Figure
 466 12 shows the results of two representative realizations of the correlation length of 12.5 m,
 467 with the smallest (0.0425 mm) and greatest (0.68 mm) standard deviations, respectively.
 468 Figure 13 shows the results in the same manner for the correlation length of 200 m. For
 469 the correlation length of 12.5 m, although the flow channels are more distinct for greater
 470 aperture standard deviation, the evolutions of temperature field are only modestly
 471 affected by the aperture standard deviation (Figure 12). This is because when the
 472 correlation length is short, the distance between the adjacent preferential paths is very
 473 small. The horizontal variation of rock temperature is significantly reduced by the heat
 474 conduction in the rock body. Therefore, the vertical propagation of the cooling front
 475 tends to resemble that with the homogeneous aperture field regardless of the aperture

476 standard deviation. On the contrary, when the correlation length is 200 m, the aperture,
 477 flow, and temperature fields for the aperture field with the standard deviation of 0.68 mm
 478 evolve in profoundly different ways from those for the aperture field with the standard
 479 deviation of 0.0425 mm (Figure 13). This is because the initial aperture field with greater
 480 standard deviation enables more distinct preferential paths, and it is more likely to
 481 develop a dominant flow channel rather than multiple preferential paths.

482 The production lives and production temperature integrals for all the aperture standard
 483 deviations simulated and the correlation length of 12.5 m and 200 m are shown in Figure
 484 14, and the statistical quantities are listed in Table 4. When the correlation length is small,
 485 the reservoir performance only slightly changes with the change of aperture standard
 486 deviation. The production life and production temperature integral show overall
 487 decreasing trends as the standard deviation increases when the correlation length is long.

488

489 Table 4. Statistical means and standard deviations of the production lives and production
 490 temperature integrals for different aperture standard deviations with the correlation length
 491 of 12.5 m and 200 m.

		Standard deviation (mm)		0.0425	0.085	0.17	0.34	0.68
Correlation length = 12.5 m	Production life (year)	mean		28.3	27.7	27.1	28.0	26.6
		standard deviation		1.4	1.8	3.4	2.4	3.5
	Production temperature integral (°C·year)	mean		886	855	828	833	780
standard deviation			44	48	121	95	108	
Correlation length = 200 m	Production life (year)	mean		23.7	22.7	17.4	15.2	12.6
		standard deviation		5.1	7.7	9.2	9.1	9.3
	Production temperature integral (°C·year)	mean		767	726	612	434	399
standard deviation			208	286	436	260	338	

492

493 5. Concluding remarks

494 We developed a fully coupled thermo-hydro-mechanical (THM) numerical model to
 495 study the flow channeling process in a single fracture in engineered geothermal systems

496 (EGS). Using this model, we studied the effects of spatial heterogeneity in a single
497 fracture's aperture on flow pattern evolution and EGS heat production. The correlation
498 length and standard deviation of the aperture field are the two primary variables under
499 investigation, and hundreds of realizations were performed to ensure reservoir
500 performance is statistically represented.

501 The simulation results show that thermal stress plays a very significant role in flow
502 pattern evolution and heat production. Compared with homogeneous aperture fields,
503 spatially heterogeneous aperture fields, which ubiquitously exist in nature, tend to
504 exacerbate flow channeling and generally undermines reservoir performance. Flow
505 channeling is inevitable regardless of the initial flow pattern, echoing the observations in
506 Fu et al. (2015). However, post-thermal breakthrough temperature decline in the system
507 studied in the current work is generally not as severe as that in the reservoir configuration
508 studied in Fu et al. (2015). We discovered that a reservoir tends to have enduring heat
509 production if the initial aperture field enables tortuous flow paths. When the aperture
510 correlation length is much shorter than the characteristic flow length, the fracture
511 behavior is similar to that of a homogeneous fracture. Longer correlation length generally
512 leads to worse and more variable reservoir performance. The aperture standard deviation
513 has little effect on heat production when the correlation length is relatively short, while it
514 tends reduce the amount of useful heat for long correlation lengths.

515 The aforementioned observations are consistent with intuitive reasoning of the role of
516 aperture heterogeneity. Behavior of a heterogeneous fracture can approach that of an
517 even fracture either by reducing the aperture standard deviation or by shortening the
518 correlation length. On the other hand, our study provides important insights into fracture
519 behavior as the aperture field deviates from a uniform aperture field by increasing the
520 aperture standard deviation and/or correlation length.

521 We assumed constant water viscosity independent of temperature and pressure. Under the
522 conditions concerned, water viscosity should increase with decreasing temperature and/or
523 increasing pressure. This can, to some extent, impede the flow channeling process, as a
524 hotter area of the fracture would have lower apparent impedance than a colder area with
525 the same aperture. The current study ignored this effect to allow isolating the effects of

526 aperture change caused by thermal stress. Although the reservoir performance has likely
527 been slightly underestimated, the conclusions on the effects of aperture heterogeneity
528 should remain similar to the above, had the temperature/pressure dependency of fluid
529 viscosity been explicitly modeled.

530 The current study provides practically useful guidelines for developing sustainable EGS.
531 The aperture field along a fracture is generally unknown *a priori*. Even after wells are
532 drilled and circulation tests are performed, it is possible to infer only the mean aperture
533 through hydraulic impedance interpretation. Quantifying the standard deviation and
534 spatial autocorrelation characteristics of the aperture field remains extremely difficult, if
535 possible at all. Therefore, making the injection and production wells far away from each
536 other seems to be a simple and practical way to achieve a small ratio of the correlation
537 length over the characteristic flow length. However, great well spacing could undermine
538 inter-well hydraulic communication, which is problematic from a water supply
539 perspective. The present study found that under certain special circumstances, such as
540 when direct inter-well flow paths are blocked by low transmissivity regions,
541 heterogeneous aperture field may provide superb reservoir performance. A focus of our
542 subsequent research is to identify well configurations that can reliably result in tortuous
543 preferential paths.

544

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554

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700

701

702 **List of Figures**

703 Figure 1. Coupling of flow, heat transfer, and thermo-mechanical processes in the model.

704 **Figure 2. A sketch of the numerical model showing the simulation domain dimensions,**
705 **location and size of the fracture inside the host rock, the configuration of the two wells,**
706 **and the *in situ* stress components. Color of the fracture plane denotes the aperture at a**
707 **reference effective stress, with blue meaning smaller aperture and yellow wider.**

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721 Figure 5. Evolutions of aperture, flow, temperature, and thermal stress fields along the
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726 Figure 6. Evolutions of aperture, flow, and temperature fields in a fracture with a
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746 Figure 13. Evolutions of aperture, flow, and temperature fields for a representative
747 example of (a) the aperture field with log-normal(0.24 mm, 0.0425 mm) and the
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753 corresponding means are connected with dashed lines and the standard deviations
754 denoted by error bars in each sup-plot.

Figure1
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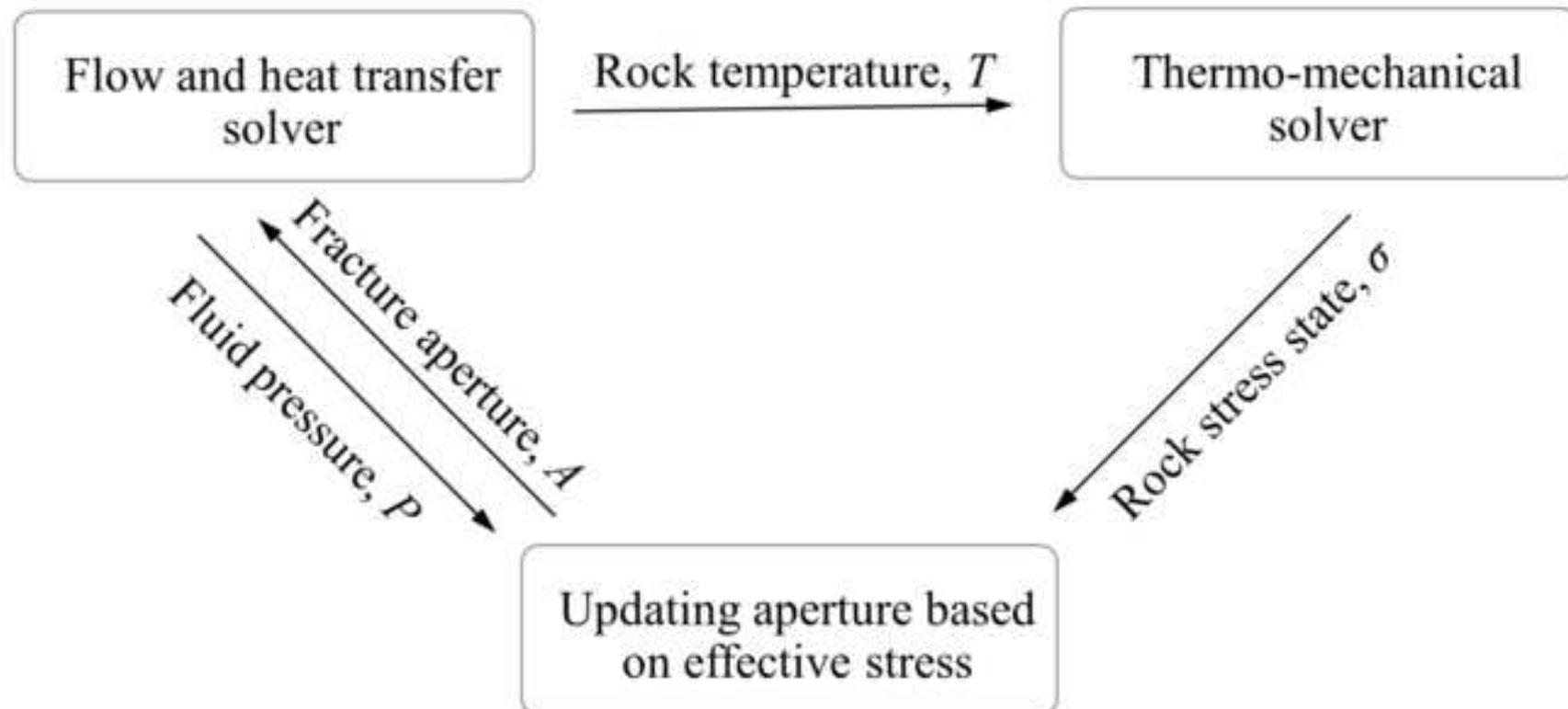


Figure2
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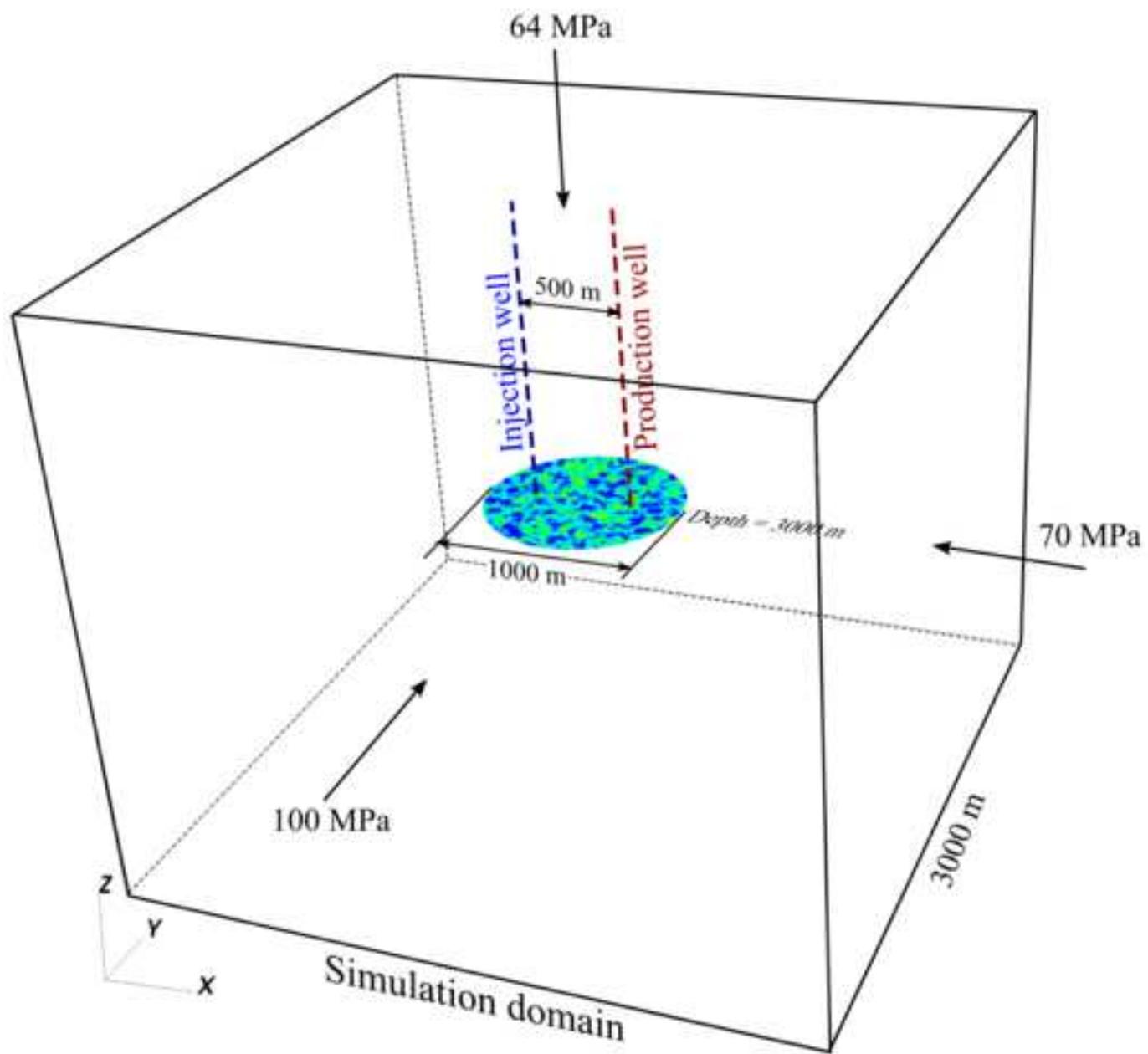


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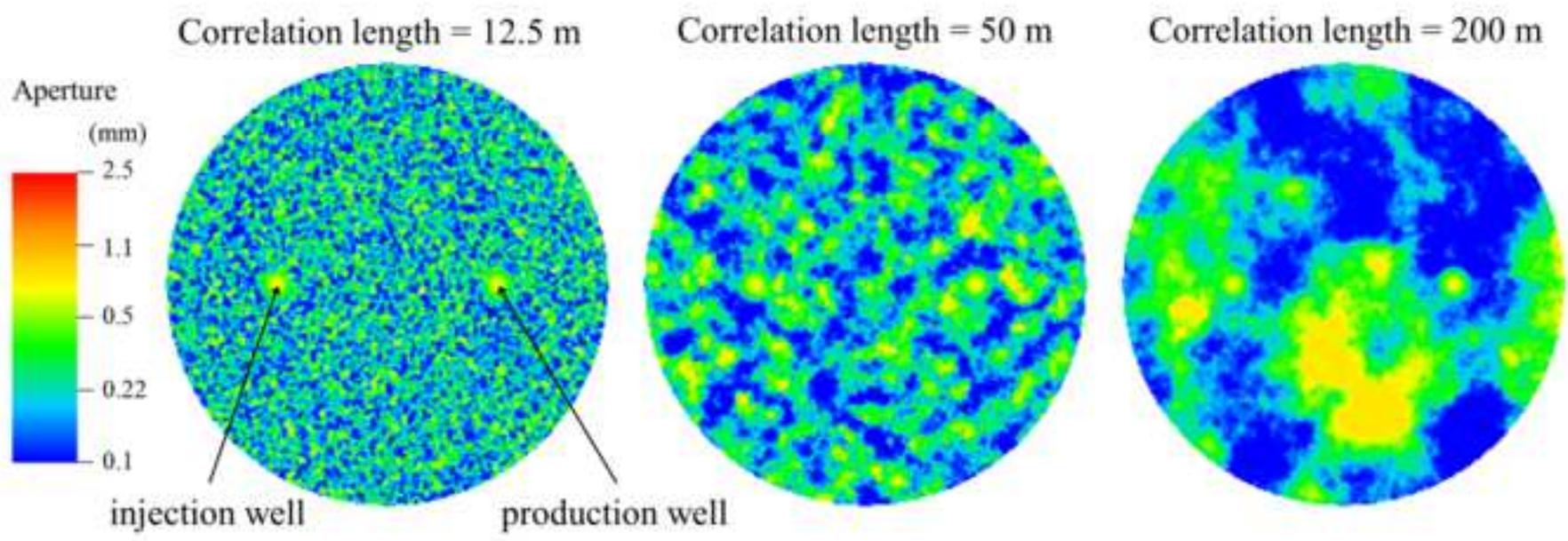


Figure 4
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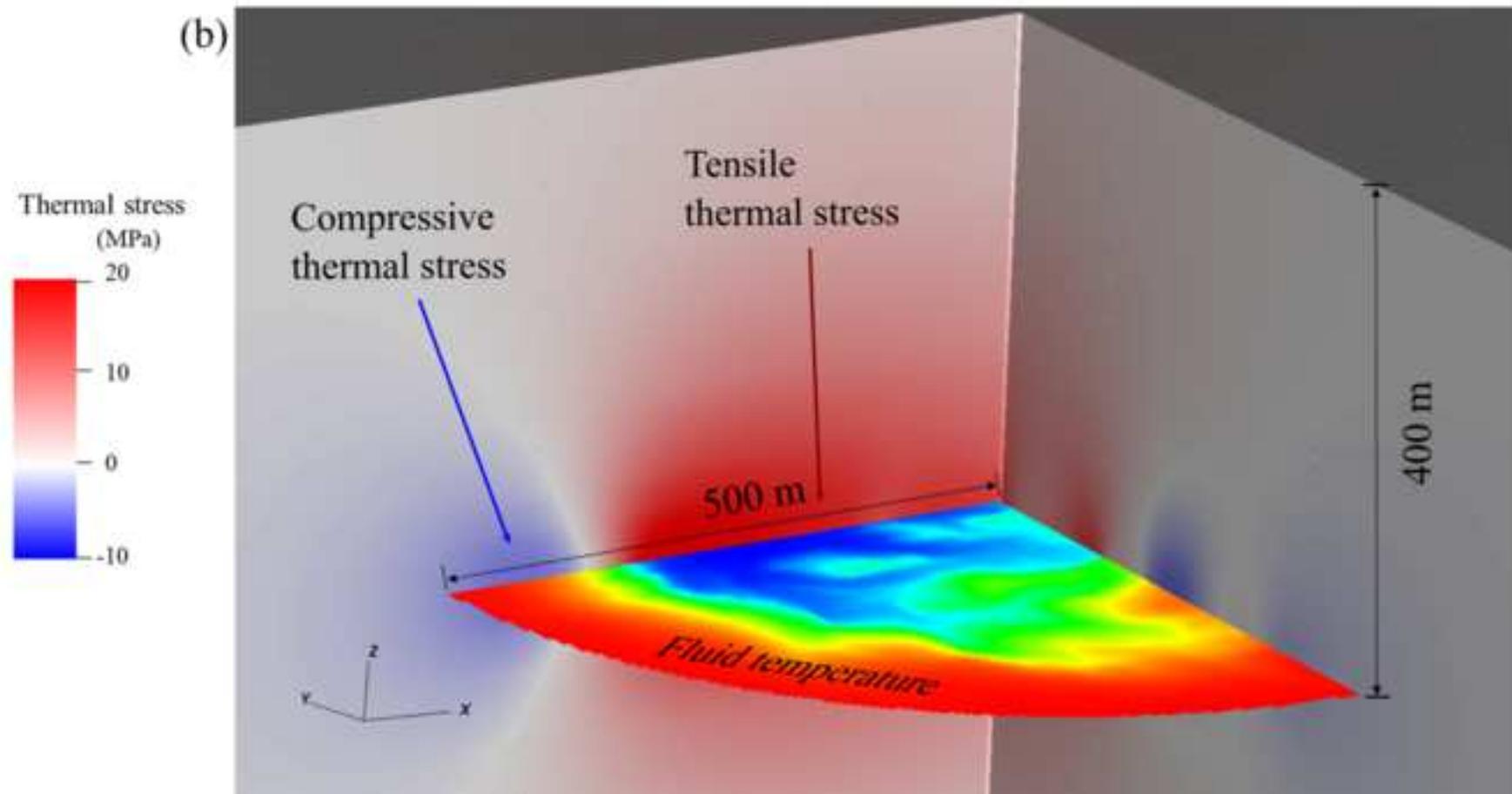
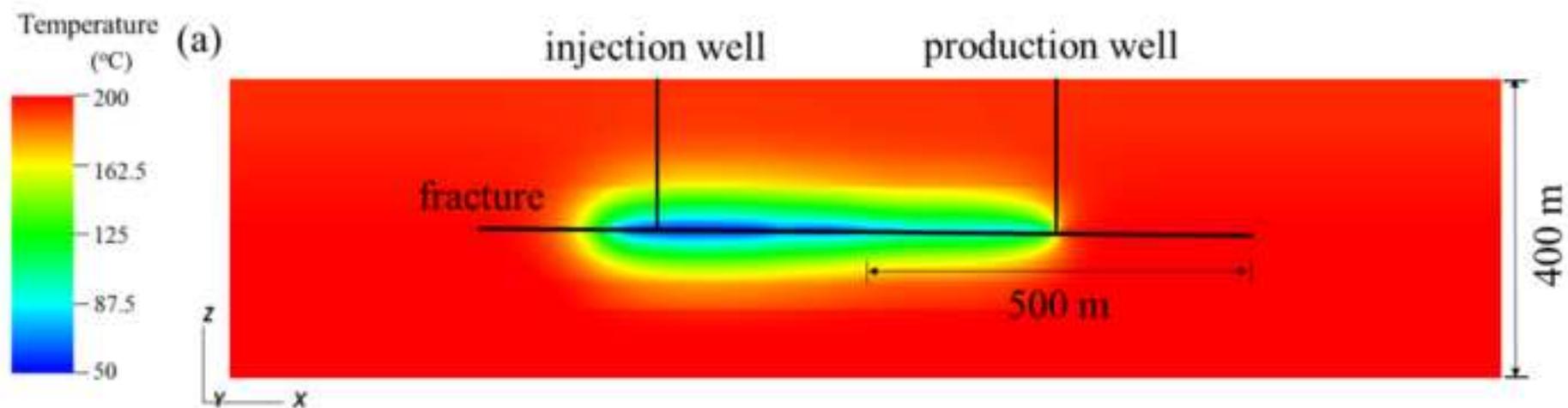
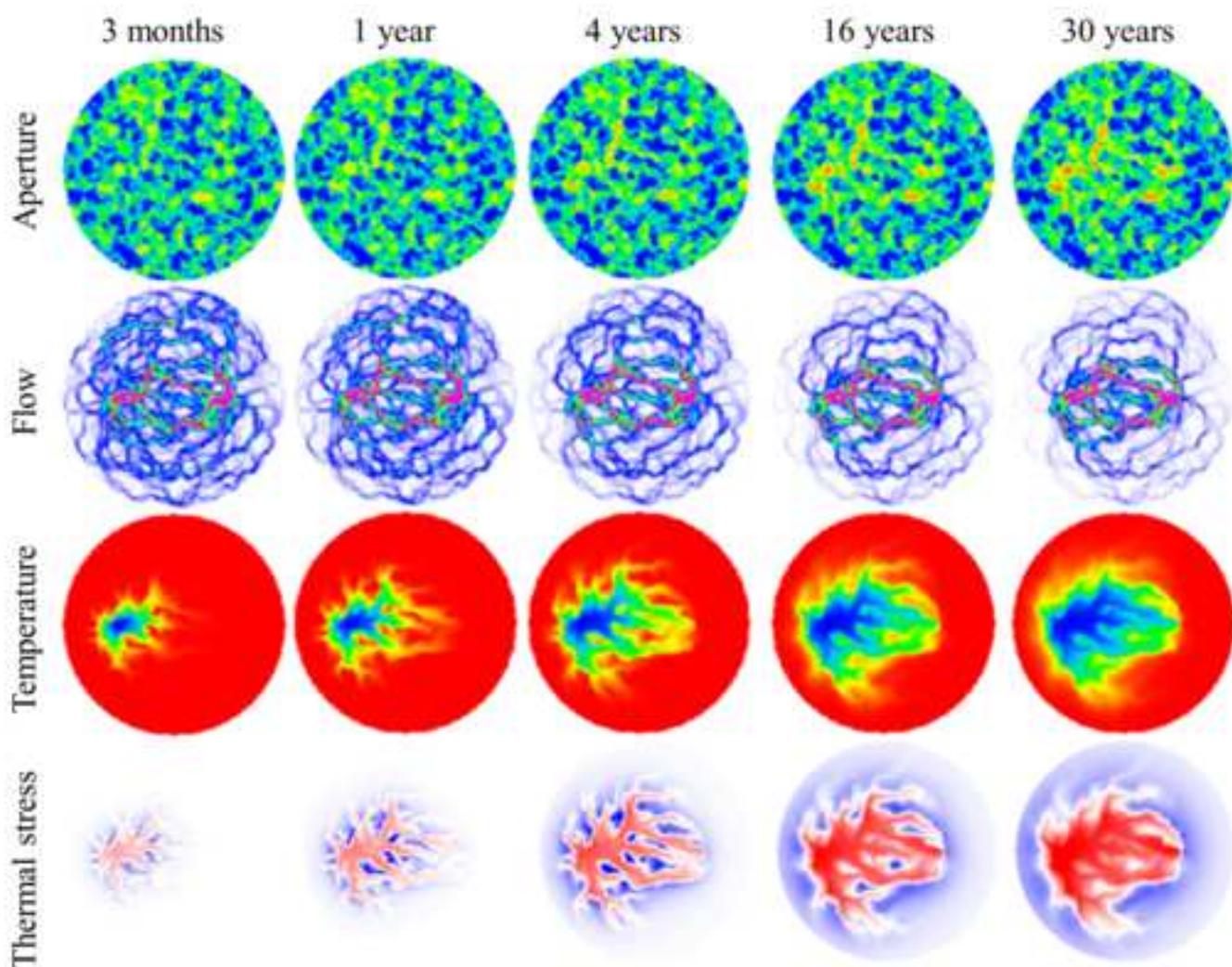


Figure5
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Color maps

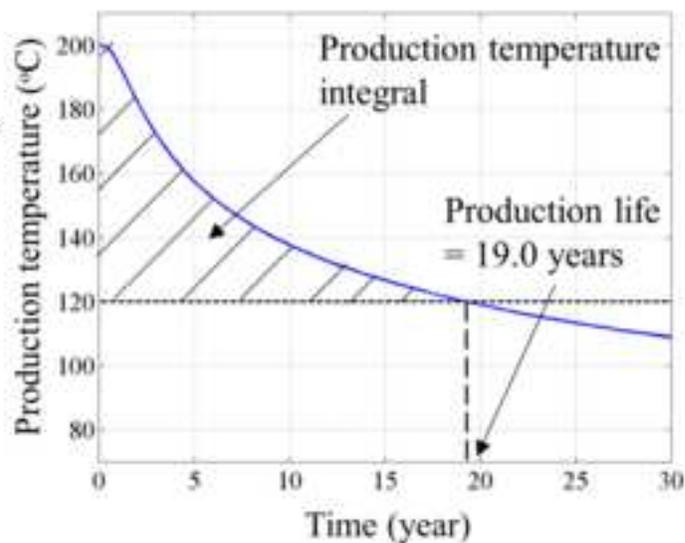
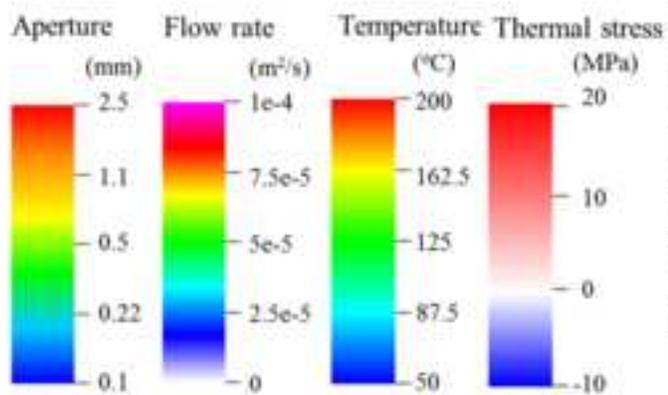


Figure6
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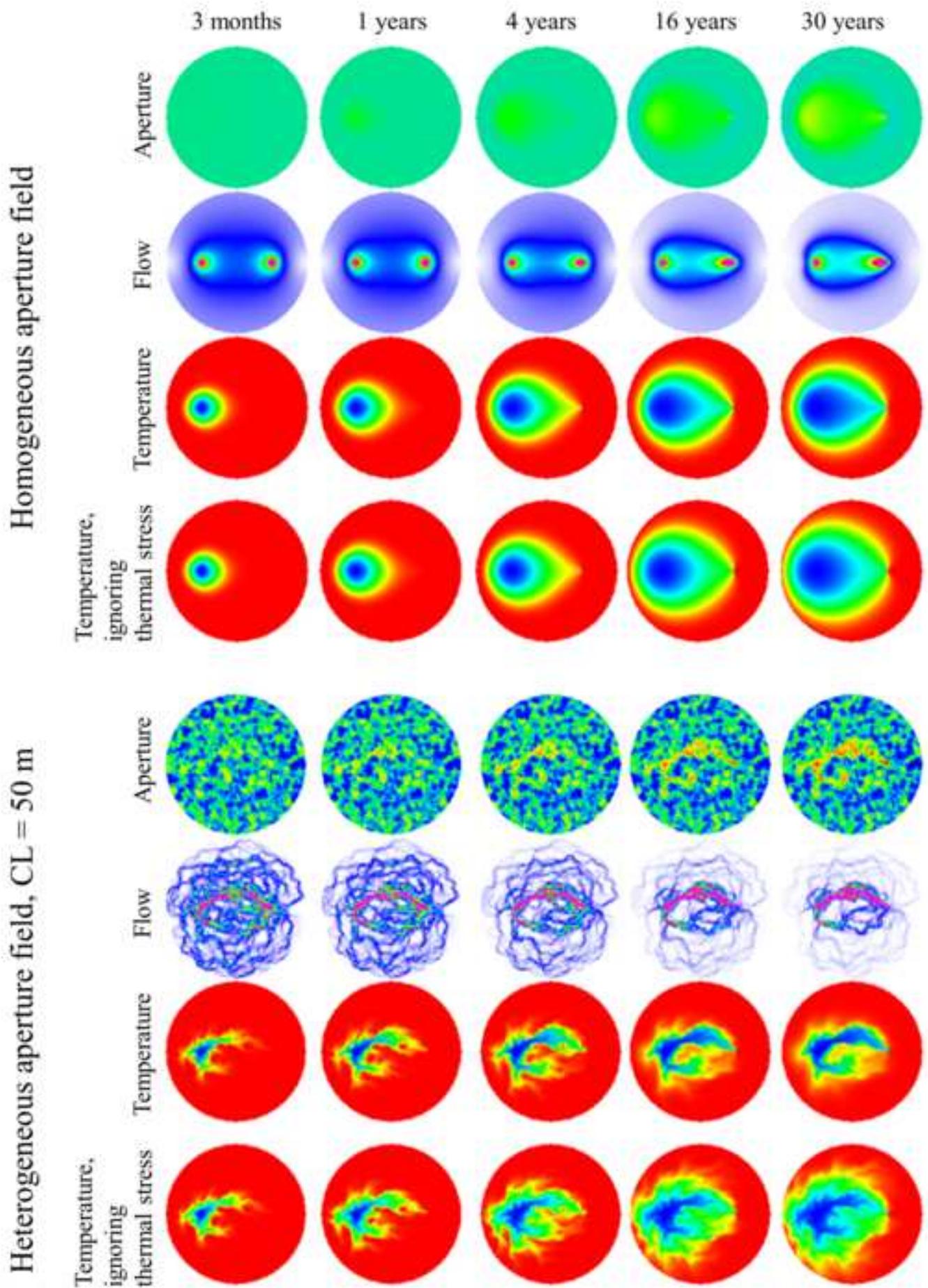


Figure7
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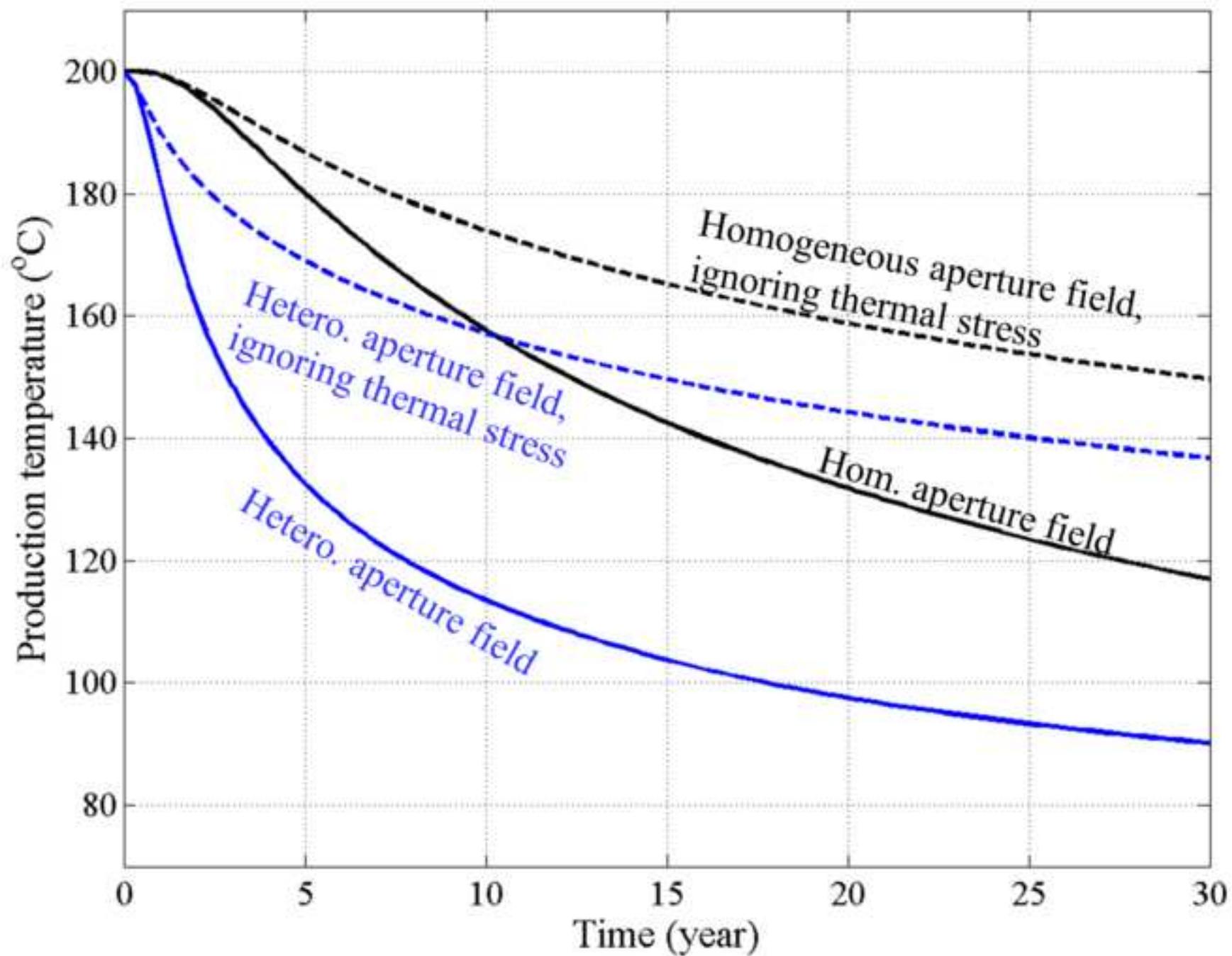


Figure8
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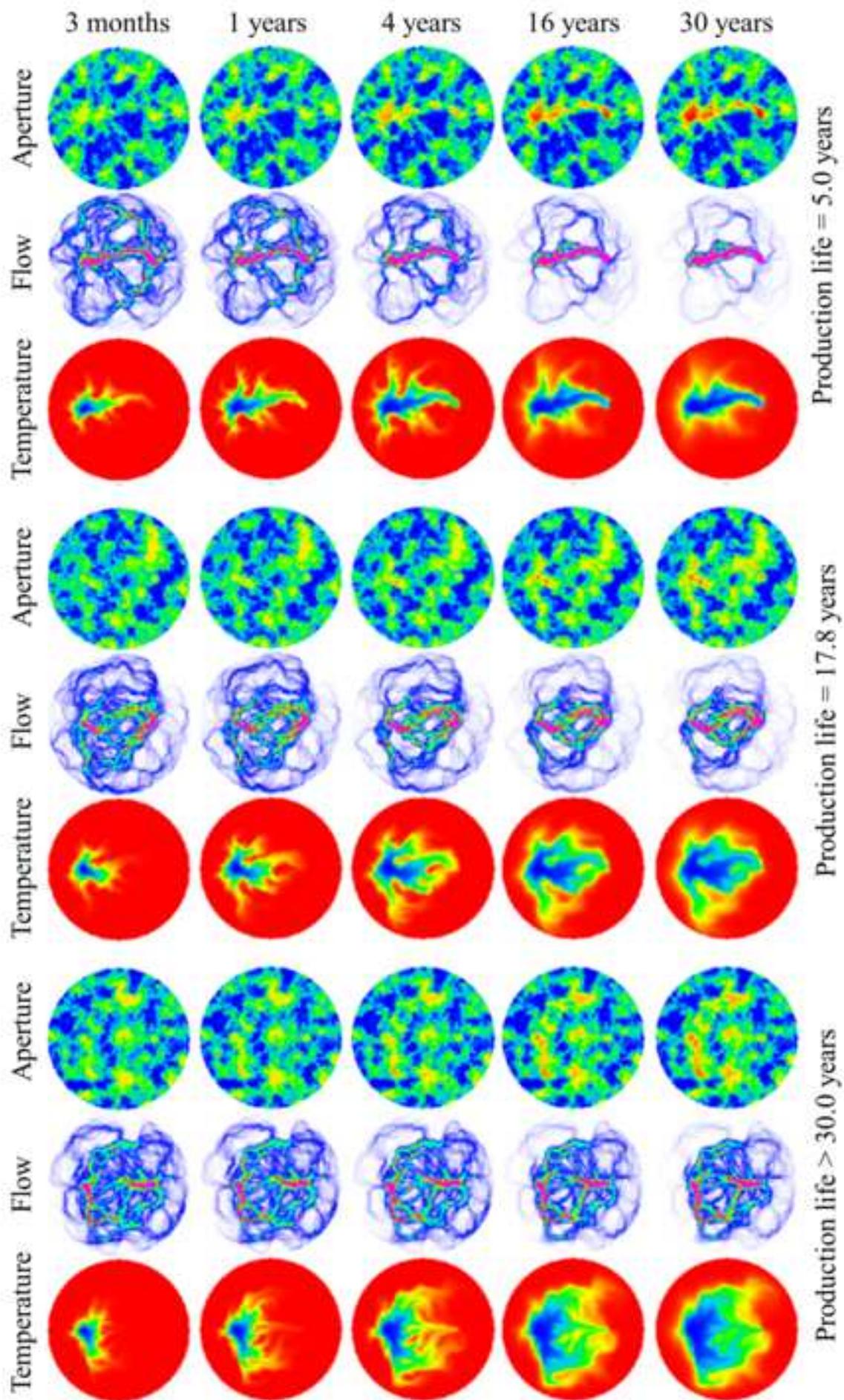


Figure9

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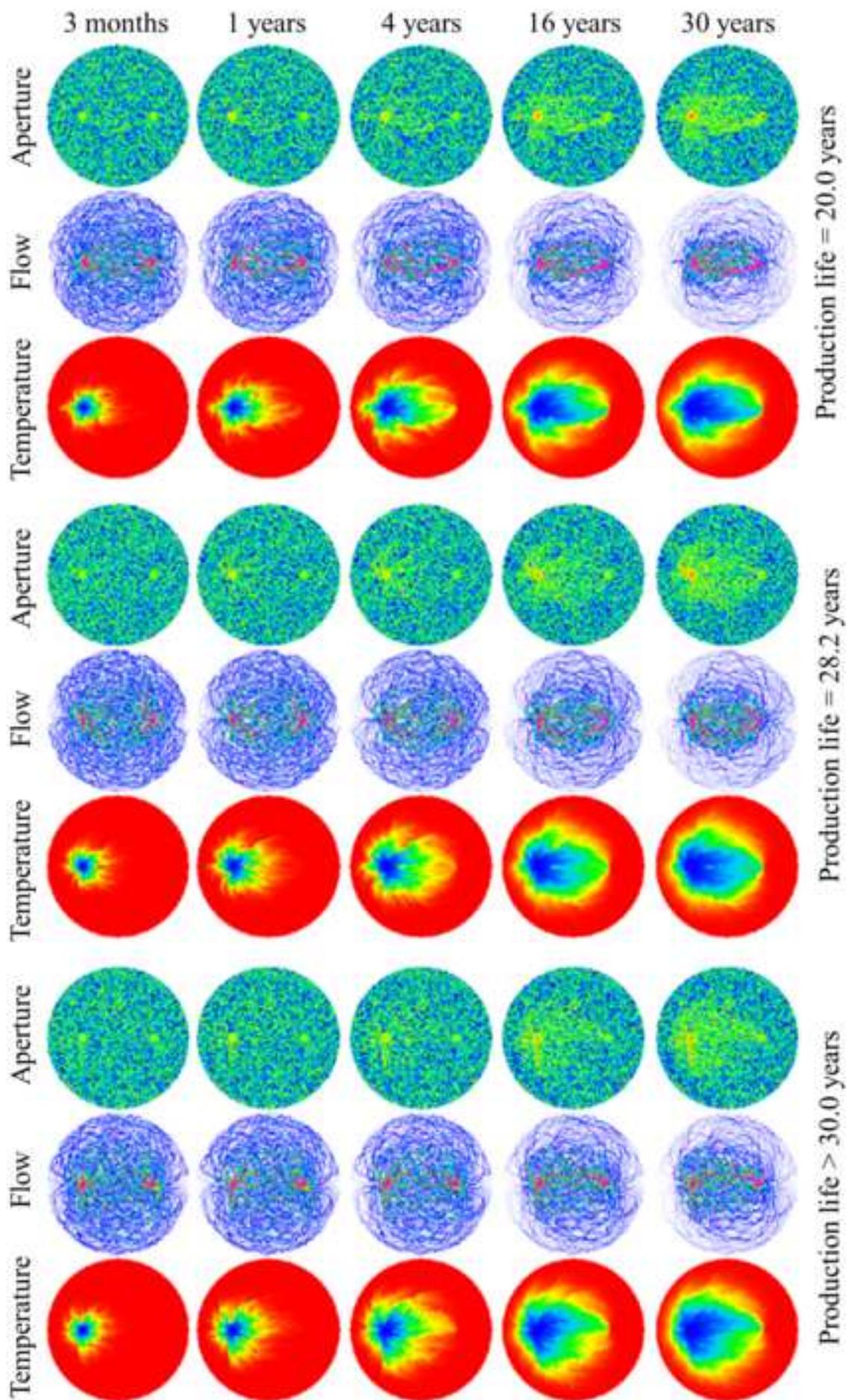


Figure10
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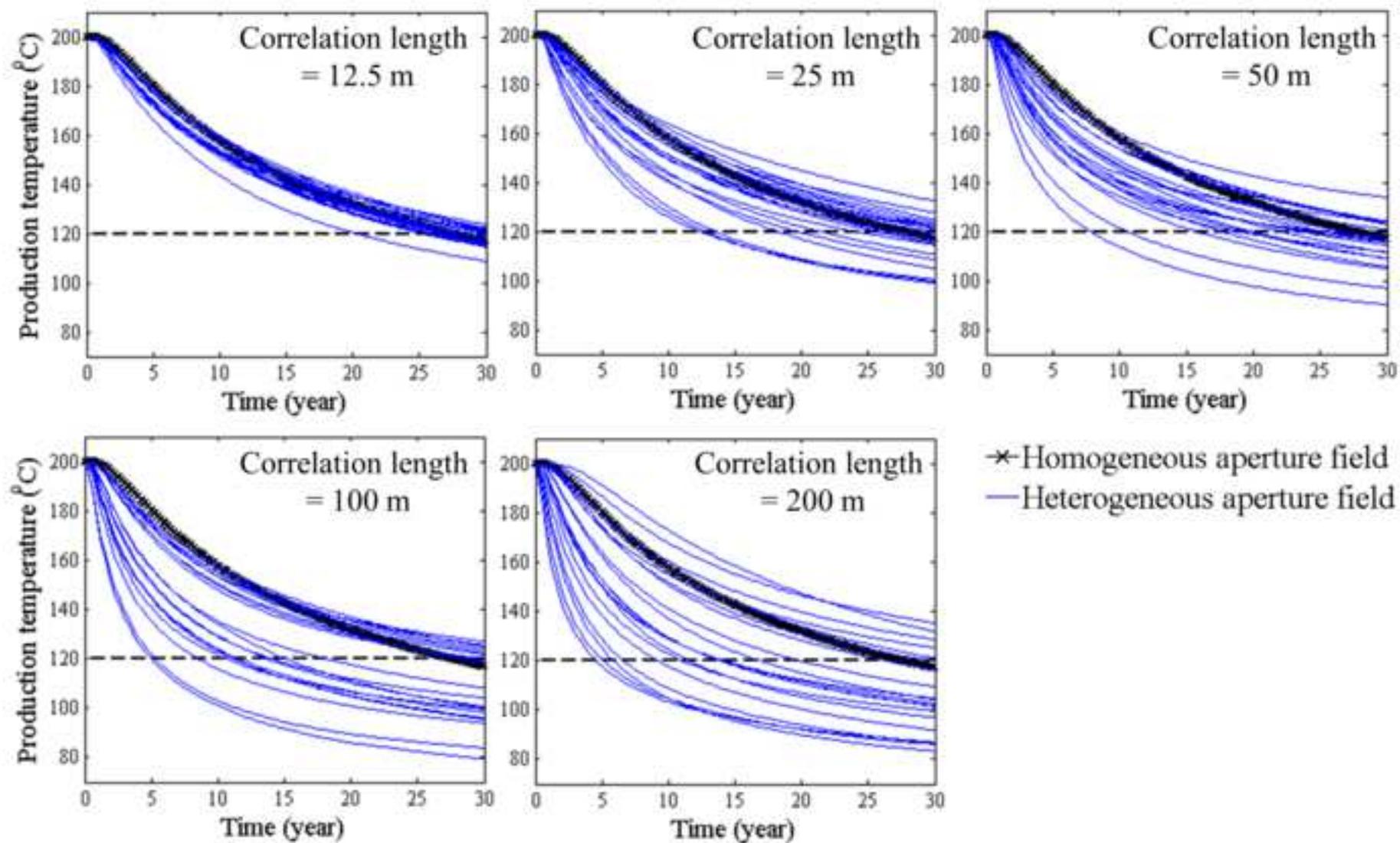
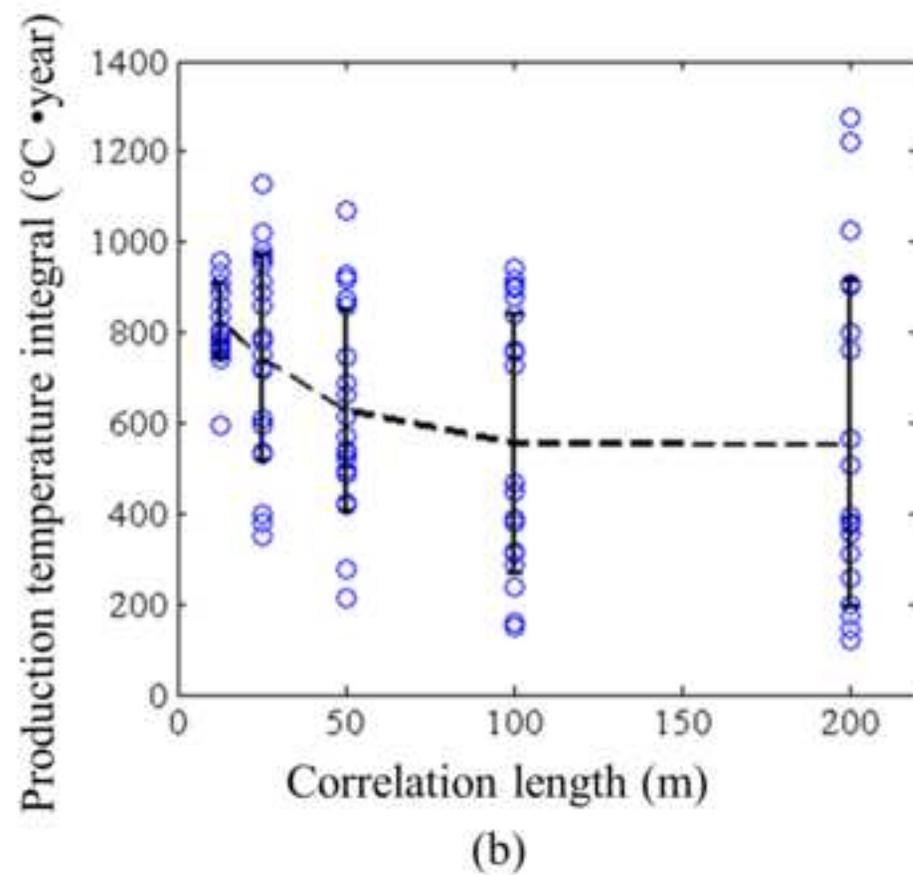
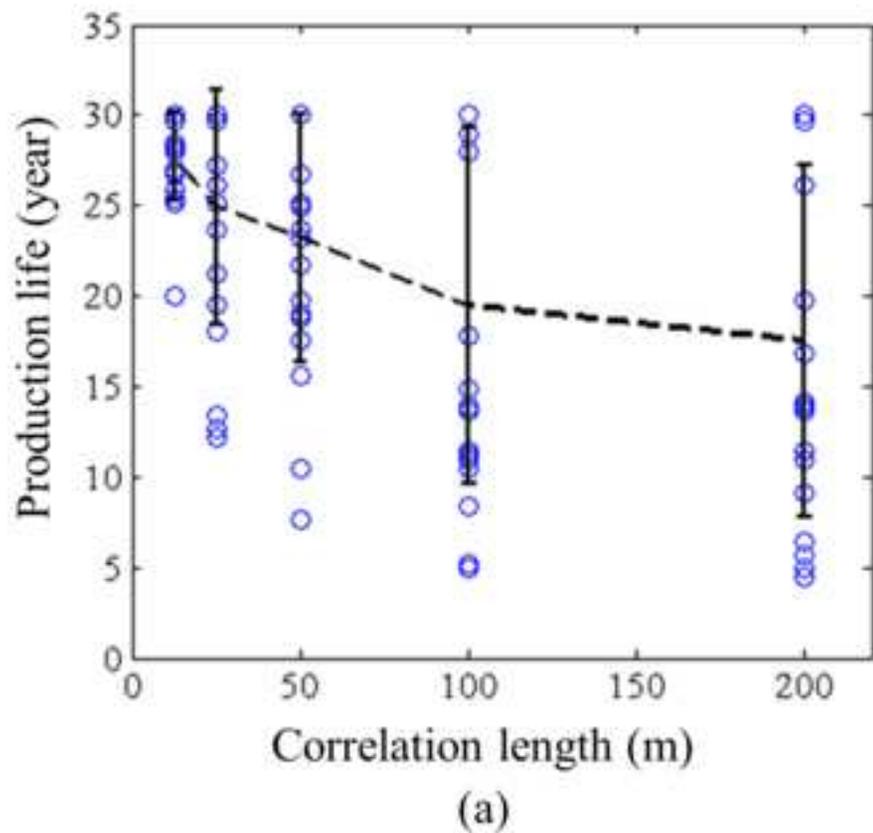


Figure11
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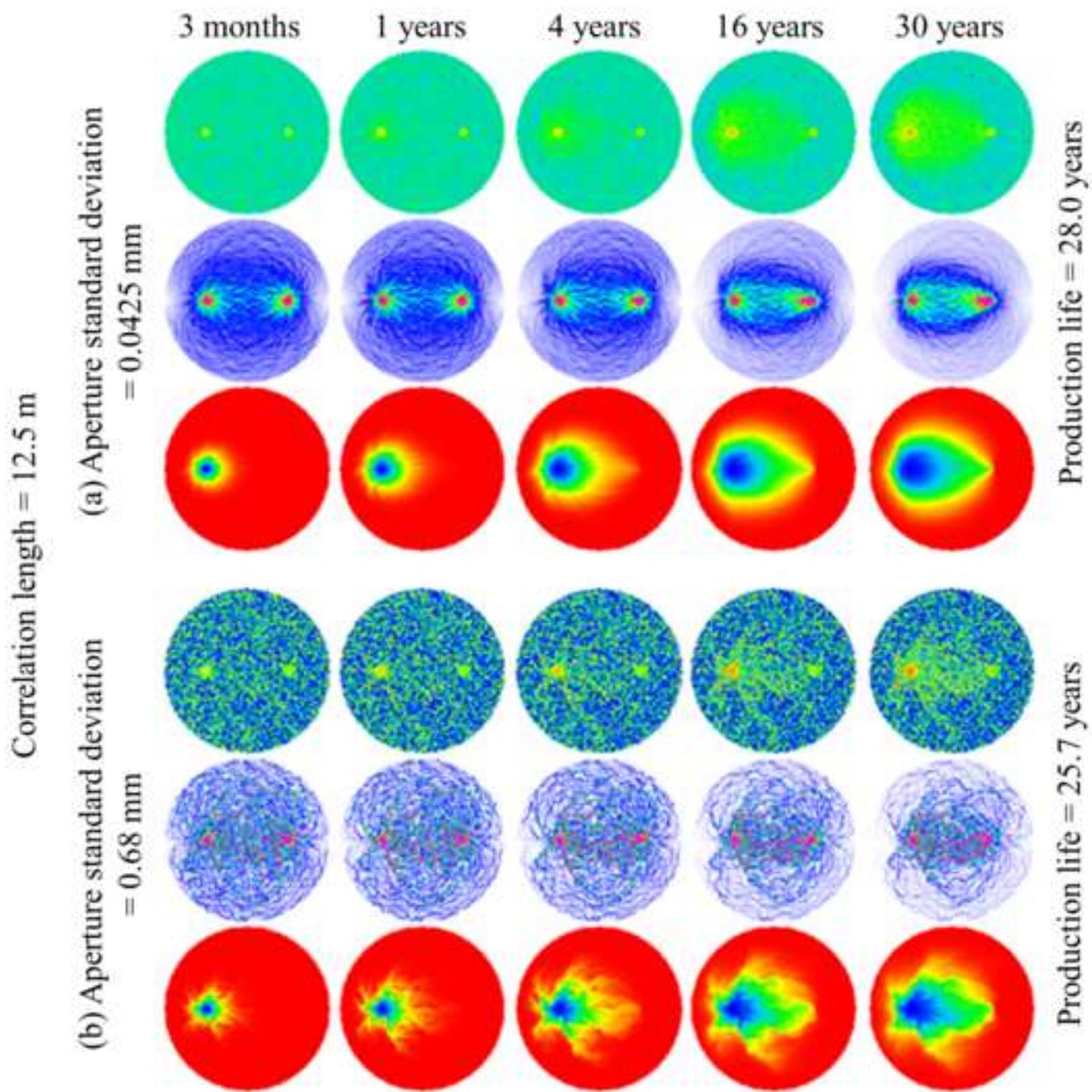


Figure13
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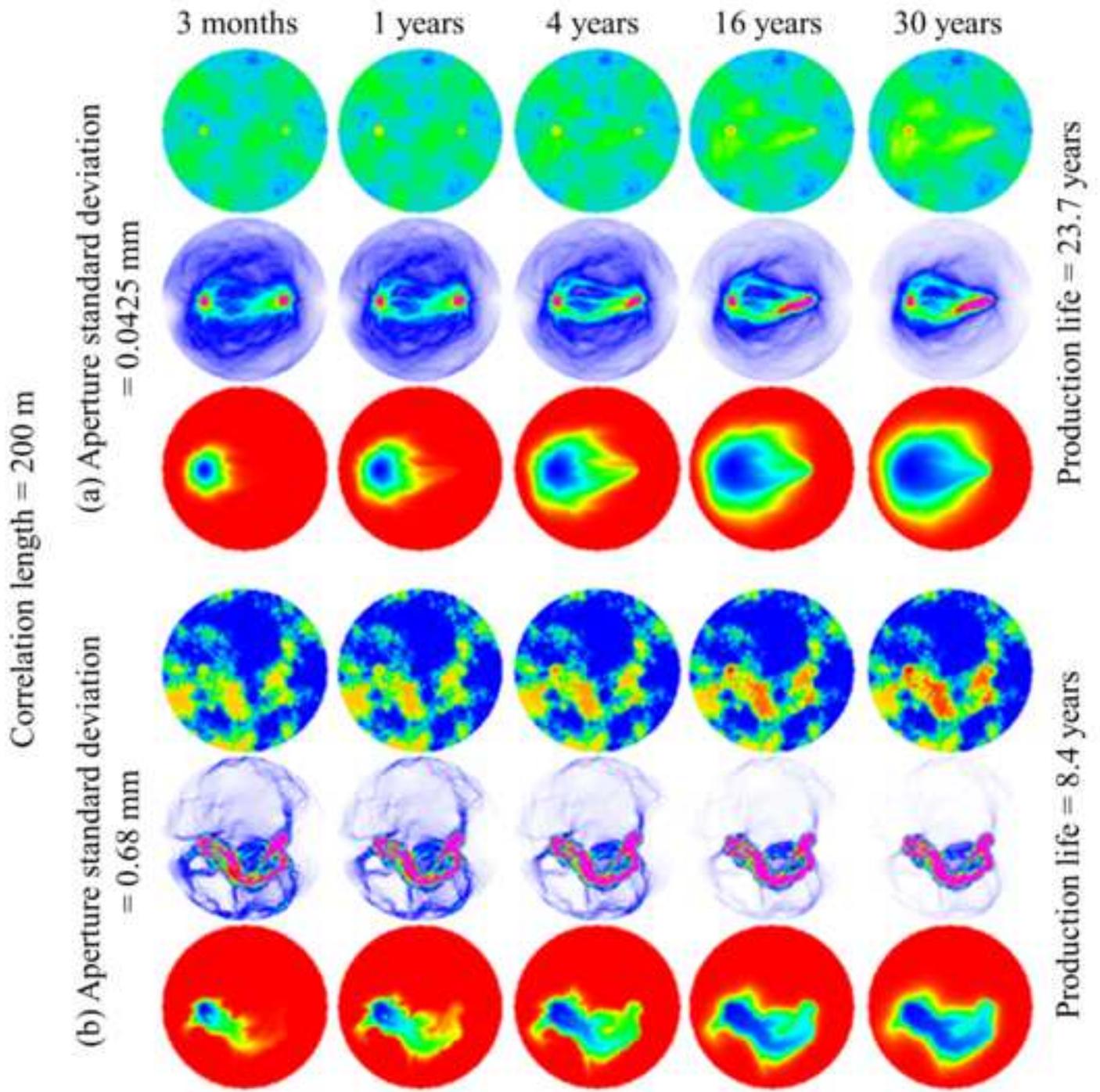
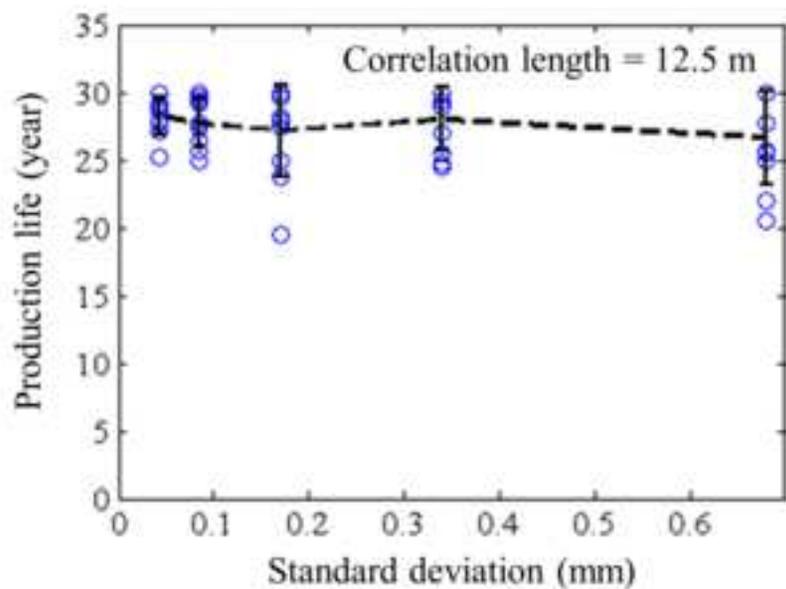
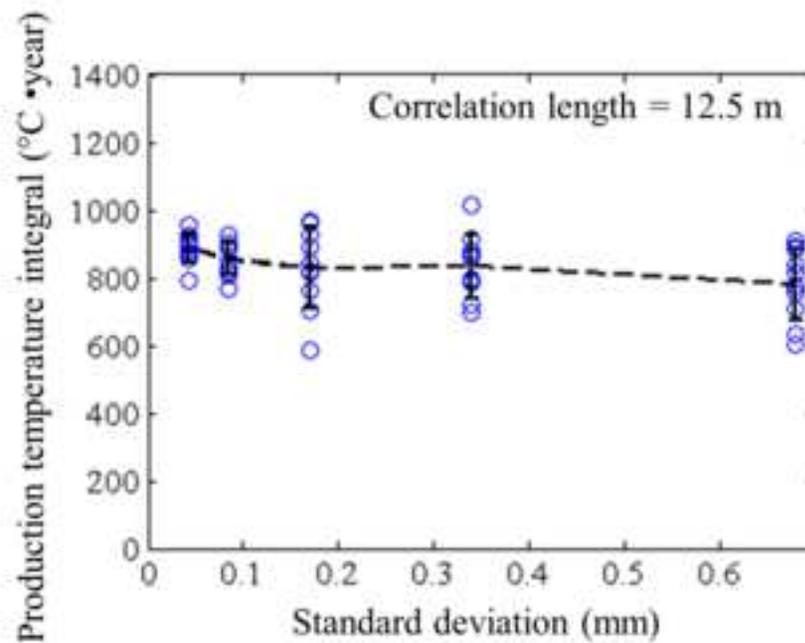


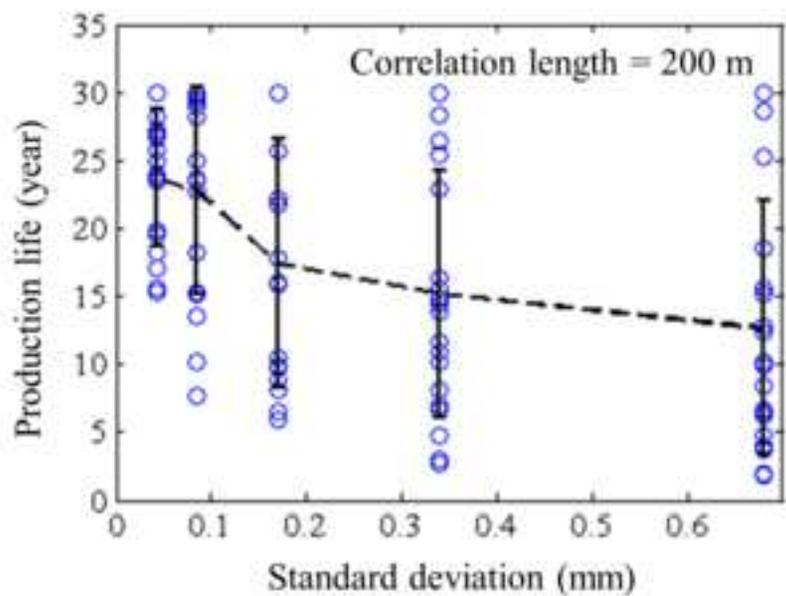
Figure14
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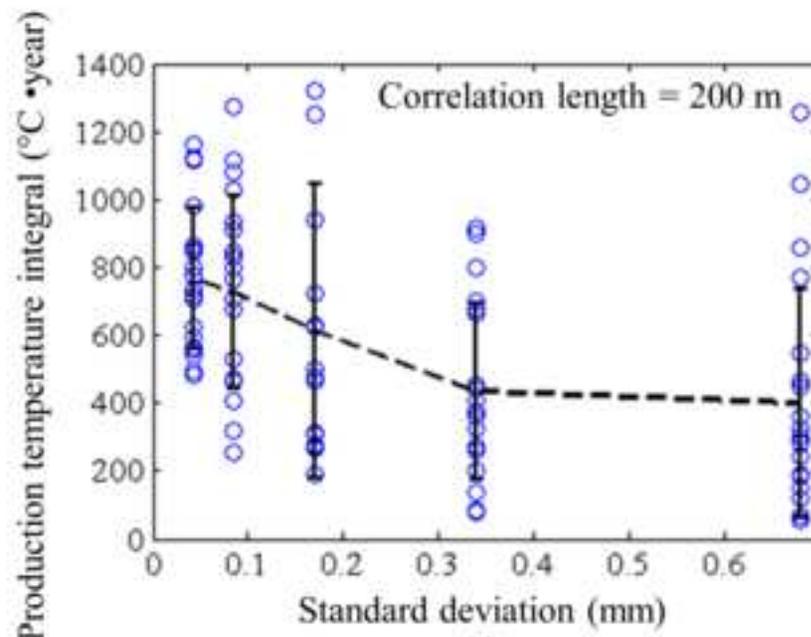
(a)



(b)



(c)



(d)